

FOURTH EDITION

GLOBAL WARMING

THE COMPLETE BRIEFING

John Houghton

CAMBRIDGE

www.cambridge.org/9780521882569

This page intentionally left blank

Global Warming

The Complete Briefing • Fourth Edition

John Houghton's market-leading textbook is now in full colour and includes the latest IPCC findings and future energy scenarios from the International Energy Agency, making it the definitive guide to climate change. Written for students across a wide range of disciplines, its simple, logical flow of ideas gives an invaluable grounding in the science and impacts of climate change and highlights the need for action on global warming.

'The addition of colour serves the diagrams so they deliver the necessary message and information they intend ... to instructors and students in interdisciplinary programmes who need an accessible, broad-view text on the subject of climate change.'

YOCHANAN KUSHNIR, Lamont-Doherty Earth Observatory of Columbia University

'The new edition provides the most up-to-date and comprehensive coverage of climate change for teaching in an undergraduate class. It covers the latest on climate science, climate change impacts and adaptation, and approaches to slowing climate change through reducing emissions from energy use, transport, and deforestation. These complex issues are presented clearly and thoroughly, based on the recent Fourth Assessment Report of the Intergovernmental Panel on Climate Change and many other sources. The new edition has significantly expanded and updated sections on slowing and stabilising climate change and on energy and transport for the future, which complement the sections on climate science. The addition of colour adds clarity and emphasis to the many valuable figures. I will definitely be using this book in all my courses on climate change.'

PROF DAVID KAROLY, University of Melbourne (formerly of the University of Oklahoma)

'It is difficult to imagine how Houghton's exposition of this complex body of information might be substantially improved upon ... Seldom has such a complex topic been presented with such remarkable simplicity, directness and crystalline clarity ... Houghton's complete briefing is without doubt the best briefing the concerned citizen could hope to find within the pages of a pocketable book.'

JOHN PERRY, Bulletin of the American Meteorological Society

‘I can recommend (this book) to anyone who wants to get a better perspective on the topic of global warming ... a very readable and comprehensive guide to the changes that are occurring now, and could occur in the future, as a result of human action ... brings the global warming debate right up to date’

WILLIAM HARSTON, *The Independent*

‘... a widely praised book on global warming and its consequences.’

The Economist

‘I would thoroughly recommend this book to anyone concerned about global warming. It provides an excellent, essentially non-technical guide on scientific and political aspects of the subject. It is an essential briefing for students and science teachers.’

TONY WATERS, *The Observatory*

‘For the non-technical reader, the best program guide to the political and scientific debate is John Houghton’s book *Global Warming: The Complete Briefing*. With this book in hand you are ready to make sense of the debate and reach your own conclusions.’

ALAN HECHT, *Climate Change*

‘This is a remarkable book ... It is a model of clear exposition and comprehensible writing ... Quite apart from its value as a background reader for science teachers and students, it would make a splendid basis for a college general course.’

ANDREW BISHOP, *Association for Science Education*

‘... a useful book for students and laymen to understand some of the complexities of the global warming issue. Questions and essay topics at the end of each chapter provide useful follow-up work and the range of material provided under one cover is impressive. At a student-friendly price, this is a book to buy for yourself and not rely on the library copy.’

ALLEN PERRY, *Holocene*

‘This book is one of the best I have encountered, that deal with climate change and some of its anthropogenic causes. Well written, well organised, richly illustrated and referenced, it should be required reading for anybody concerned with the fate of our planet.’

ELMAR R. REITER, *Meteorology and Atmospheric Physics*

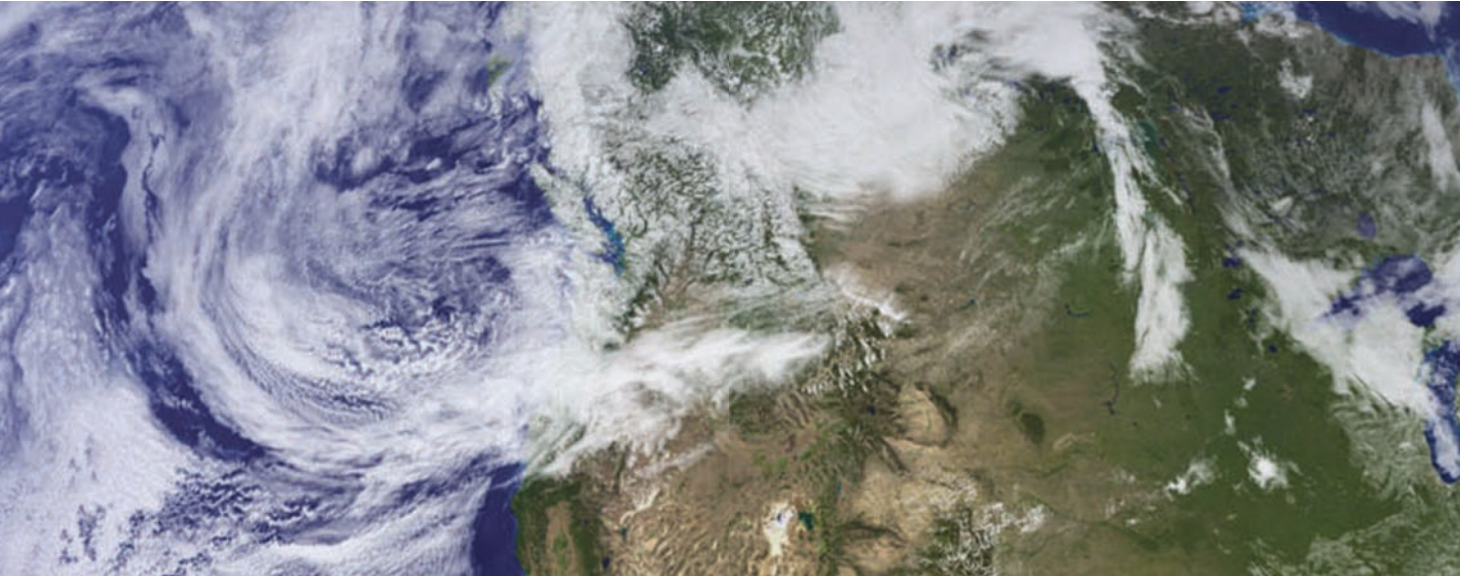
'Sir John Houghton is one of the few people who can legitimately use the phrase "the complete briefing" as a subtitle for a book on global warming ... Sir John has done us all a great favour in presenting such a wealth of material so clearly and accessibly and in drawing attention to the ethical underpinnings of our interpretation of this area of environmental science.'

Progress in Physical Geography

'Throughout the book this argument is well developed and explained in a way that the average reader could understand - especially because there are many diagrams, tables, graphs and maps which are easy to interpret.'

SATYA

GLOBAL



WARMING



The Complete Briefing | Fourth Edition

Sir John Houghton

 **CAMBRIDGE**
UNIVERSITY PRESS

CAMBRIDGE UNIVERSITY PRESS

Cambridge, New York, Melbourne, Madrid, Cape Town, Singapore, São Paulo

Cambridge University Press

The Edinburgh Building, Cambridge CB2 8RU, UK

Published in the United States of America by Cambridge University Press, New York

www.cambridge.org

Information on this title: www.cambridge.org/9780521882569

© J. T. Houghton 1994, 1997, 2004, 2009

This publication is in copyright. Subject to statutory exception and to the provision of relevant collective licensing agreements, no reproduction of any part may take place without the written permission of Cambridge University Press.

First published in print format 2009

ISBN-13 978-0-511-53365-5 eBook (EBL)

ISBN-13 978-0-521-88256-9 hardback

ISBN-13 978-0-521-70916-3 paperback

Cambridge University Press has no responsibility for the persistence or accuracy of urls for external or third-party internet websites referred to in this publication, and does not guarantee that any content on such websites is, or will remain, accurate or appropriate.

To my grandchildren,
Daniel, Hannah, Esther, Max,
Jonathan, Jemima and Sam
and their generation

Contents

Preface	page xvii
1 Global warming and climate change	1
Is the climate changing?	2
The last 30 years	2
El Niño events	7
The effect of volcanic eruptions on temperature extremes	10
Vulnerability to change	10
What is global warming?	13
Adaptation and mitigation	14
Uncertainty and response	15
Questions	16
Further reading and reference	17
2 The greenhouse effect	18
How the Earth keeps warm	19
The greenhouse effect	20
<i>Pioneers of the science of the greenhouse effect</i>	23
Mars and Venus	27
The ‘runaway’ greenhouse effect	28
The enhanced greenhouse effect	29
Summary	31
Questions	32
Further reading and reference	32
3 The greenhouse gases	34
Which are the most important greenhouse gases?	35
Radiative forcing	35
Carbon dioxide and the carbon cycle	35
<i>The biological pump in the oceans</i>	43
<i>What we can learn from carbon isotopes</i>	44
Future emissions of carbon dioxide	46
<i>Feedbacks in the biosphere</i>	48

Other greenhouse gases	50
Gases with an indirect greenhouse effect	57
Particles in the atmosphere	57
Global warming potentials	63
Estimates of radiative forcing	63
Summary	64
Questions	65
Further reading and reference	67
4 Climates of the past	69
The last hundred years	70
<i>Atmospheric temperature observed by satellites</i>	72
The last thousand years	79
The past million years	82
<i>Palaeoclimate reconstruction from isotope data</i>	84
How stable has past climate been?	87
Summary	90
Questions	91
Further reading and reference	92
5 Modelling the climate	93
Modelling the weather	94
<i>Setting up a numerical atmospheric model</i>	97
<i>Data to initialise the model</i>	98
Seasonal forecasting	101
<i>Weather forecasting and chaos</i>	102
<i>A simple model of the El Niño</i>	105
The climate system	106
<i>Forecasting for the African Sahel region</i>	107
Feedbacks in the climate system	108
<i>Cloud radiative forcing</i>	112
<i>Climate feedback comparisons</i>	115
Models for climate prediction	116
Validation of the model	119
<i>The ocean's deep circulation</i>	120
<i>Modelling of tracers in the ocean</i>	124
Comparison with observations	124
Is the climate chaotic?	128
Regional climate modelling	130

The future of climate modelling	131
Summary	132
Questions	133
Further reading and reference	134
6 Climate change in the twenty-first century and beyond	137
Emission scenarios	138
<i>The emission scenarios of the Special Report on Emission Scenarios (SRES)</i>	140
Model projections	141
Projections of global average temperature	143
<i>Simple climate models</i>	144
Equivalent carbon dioxide (CO ₂ e)	147
Regional patterns of climate change	149
Changes in climate extremes	154
Regional climate models	161
Longer-term climate change	163
Changes in the ocean thermohaline circulation	164
Other factors that might influence climate change	165
<i>Does the Sun's output change?</i>	166
Summary	167
Questions	168
Further reading and reference	169
7 The impacts of climate change	172
A complex network of changes	173
<i>Sensitivity, adaptive capacity and vulnerability: some definitions</i>	173
How much will sea level rise?	176
<i>Thermal expansion of the oceans</i>	177
Impacts in coastal areas	181
Increasing human use of fresh water resources	187
The impact of climate change on fresh water resources	190
Impact on agriculture and food supply	196
<i>Desertification</i>	197
<i>The carbon dioxide 'fertilisation' effect</i>	199
<i>Modelling the impact of climate change on world food supply</i>	200
The impact on ecosystems	203
<i>Forest-climate interactions and feedbacks</i>	208

The impact on human health	213
<i>Heatwaves in Europe and India, 2003</i>	215
<i>Impacts on Africa</i>	216
Adaptation to climate change	217
Costing the impacts: extreme events	219
<i>The insurance industry and climate change</i>	222
Costing the total impacts	223
<i>Estimates of impacts costs under business-as-usual (BAU)</i>	
<i>from the Stern Review</i>	227
Summary	232
Questions	233
Further reading and reference	234
8 Why should we be concerned?	239
Earth in the balance	240
Exploitation	240
‘Back to nature’	241
The technical fix	242
The unity of the Earth	243
<i>Daisyworld and life on the early Earth</i>	246
Environmental values	247
Stewards of the Earth	250
Equity – intergenerational and international	252
The will to act	253
Summary	254
Questions	255
Further reading and reference	257
9 Weighing the uncertainty	260
The scientific uncertainty	261
<i>The reasons for scientific uncertainty</i>	262
The IPCC Assessments	263
Narrowing the uncertainty	267
<i>Space observations of the climate system</i>	268
Sustainable development	270
<i>Sustainable development: how is it defined?</i>	272
Why not wait and see?	273
The Precautionary Principle	274
Principles for international action	276

Some global economics	276
<i>The Rio Declaration 1992</i>	278
<i>Integrated Assessment and Evaluation</i>	280
Summary	285
Questions	286
Further reading and reference	287
10 A strategy for action to slow and stabilise climate change	290
The Climate Convention	291
<i>Extracts from the UN Framework Convention on Climate Change</i>	291
Stabilisation of emissions	293
The Montreal Protocol	294
The Kyoto Protocol	294
<i>The Kyoto mechanisms</i>	298
<i>Carbon trading</i>	299
Forests	300
<i>The world's forests and deforestation</i>	301
Reduction in sources of greenhouse gases other than carbon dioxide	305
Stabilisation of carbon dioxide concentrations	307
The choice of stabilisation level	311
Realising the Climate Convention Objective	315
Summary	319
Questions	320
Further reading and reference	322
11 Energy and transport for the future	325
World energy demand and supply	326
Future energy projections	330
<i>Energy intensity and carbon intensity</i>	331
<i>Socolow and Pascala's Wedges</i>	335
A long-term energy strategy	336
Buildings: energy conservation and efficiency	336
<i>Where are we heading? Components of energy strategy</i>	338
<i>Thermodynamic efficiencies</i>	339
<i>Efficiency of appliances</i>	340
<i>Insulation of buildings</i>	341

<i>Example of a ZED (Zero Emission Development)</i>	343
Energy and carbon dioxide savings in transport	343
<i>Technologies for reducing carbon dioxide emissions from motor vehicles</i>	346
Energy and carbon dioxide savings in industry	346
Carbon-free electricity supply	347
Hydropower	351
Biomass energy	353
<i>Biomass projects in rural areas in the developing world</i>	354
Biofuels	357
Wind energy	358
<i>Wind power on Fair Isle</i>	360
Energy from the Sun: Solar Heating	360
<i>Solar water heating</i>	361
<i>Solar energy in building design</i>	362
<i>The photovoltaic solar cell</i>	364
<i>Local energy provision in Bangladesh</i>	366
Other renewable energies	367
The support and financing of carbon-free energy	369
<i>Policy instruments</i>	370
Mitigation technologies and potential in 2030	375
Technology for the longer term	375
<i>Fuel cell technology</i>	376
<i>Power from nuclear fusion</i>	377
A Zero carbon future	378
<i>IEA World Energy Outlook 2008</i>	381
<i>Energy policy in the UK</i>	382
Summary	383
Questions	385
Further reading and reference	387
12 The global village	391
Global warming – <i>global</i> pollution	392
Sustainability – also a <i>global</i> challenge	393
Not the only global problem	394
<i>Poverty and population growth</i>	396
The challenge to all sections of community	397
The conception and conduct of environmental research	400
<i>What the individual can do</i>	401

The goal of environmental stewardship	402
Questions	404
Further reading and reference	406
<i>Appendix 1</i>	408
SI unit prefixes	408
Chemical symbols	408
<i>Appendix 2: Acknowledgements for figures, photos and tables</i>	409
Figures	409
Photos	415
Tables	417
Glossary	418
Index	426

Preface

Global Warming is a topic that increasingly occupies the attention of the world. Is it really happening? If so, how much of it is due to human activities? How far will it be possible to adapt to changes of climate? What action to combat it can or should we take? How much will it cost? Or is it already too late for useful action? This book sets out to provide answers to all these questions by providing the best and latest information available.

I was privileged to chair or co-chair the Scientific Assessments for the Intergovernmental Panel on Climate Change (IPCC) from its inception in 1988 until 2002. During this period the IPCC published three major comprehensive reports – in 1990, 1995 and 2001 – that have influenced and informed those involved in climate change research and those concerned with the impacts of climate change. In 2007, a fourth assessment report was published. It is the extensive new material in this latest report that has provided the basis for the substantial revision necessary to update this fourth edition.

The IPCC reports have been widely recognised as the most authoritative and comprehensive assessments on a complex scientific subject ever produced by the world's scientific community. On the completion of the first assessment in 1990, I was asked to present it to Prime Minister Margaret Thatcher's cabinet – the first time an overhead projector had been used in the Cabinet Room in Number 10 Downing Street. In 2005, the work of the IPCC was cited in a joint statement urging action on climate change presented to the G8 meeting in that year by the Academies of Science of all G8 countries plus China, India and Brazil. The world's top scientists could not have provided stronger approval of the IPCC's work. An even wider endorsement came in 2007 when the IPCC was awarded a Nobel Peace Prize.

Many books have been published on global warming. My choice of material has been much influenced by the many lectures I have given in recent years to professional, student and general audiences.

The strengths of this book are that it is:

- **up-to-date with the latest reliable, accurate and understandable information** about all aspects of the global warming problem for students, professionals and interested or concerned citizens.
- **accessible** to both scientists and non-scientists. Although there are many numbers in the book – I believe quantification to be essential – there are no

mathematical equations. Some important technical material is included in boxes.

- **comprehensive**, as it moves through the basic science of global warming, impacts on human communities and ecosystems, economic, technological and ethical considerations and policy options for action both national and international.
- appropriate as a **general text for students**, from high-school level up to university graduate. Questions and problems for students to consider and to test their understanding of the material are included in each chapter.
- Its **simple and effective visual presentation of the vast quantities of data** available on climate change ensures that readers can see how conclusions are made, without being overwhelmed. Illustrations are available online.

Over the 20 years since the inception of the IPCC, our understanding of climate change has much increased and significant changes in climate due to human activities have been experienced. Further, studies of the feedbacks that determine the climate response have shown an increasing likelihood of enhanced response, so leading over these years to greater concern about the future impact of climate change on both human populations and ecosystems. Can much be done to alleviate the impact or mitigate future climate change? Later chapters of the book address this question and demonstrate that the technology is largely available to support urgent and affordable action. They also point to the many other benefits that will accrue to all sectors of society as the necessary action is taken. However, what seems lacking as yet is the will to take that action.

As I complete this revised edition I want to express my gratitude, first to those who inspired me and helped with the preparation of the earlier editions, with many of whom I was also involved in the work of the IPCC or of the Hadley Centre. I also acknowledge those who have assisted with the material for this edition or who have read and helpfully commented on my drafts, in particular, Fiona Carroll, Jim Coakley, Peter Cox, Simon Desjardin, Michael Hambery, Marc Humphreys, Chris Jones, Linda Livingstone, Jason Lowe, Tim Palmer, Martin Parry, Ralph Sims, Susan Solomon, Peter Smith, Chris West, Sue Whitehouse and Richard Wood. My thanks are also due to Catherine Flack, Matt Lloyd, Anna-Marie Lovett and Jo Endell-Cooper of Cambridge University Press for their competence and courtesy as they steered the book through its gestation and production.

Finally, I owe an especial debt to my wife, Sheila, who gave me strong encouragement to write the book in the first place, and who has continued her encouragement and support through the long hours of its production.

Global warming and climate change

1



Hurricane Wilma hit Florida's southern west coast on 24 October 2005.

THE PHRASE 'global warming' has become familiar to many people as one of the most important issues of our day. Many opinions have been expressed concerning it, from the doom-laden to the dismissive. This book aims to state the current scientific position on global warming clearly, so that we can make informed decisions on the facts.

Is the climate changing?

In the year 2060 my grandchildren will be approaching 70 years old; what will their world be like? Indeed, what will it be like during the 70 years or so of their normal lifespan? Many new things have happened in the last 70 years that could not have been predicted in the 1930s. The pace of change is such that even more novelty can be expected in the next 70. It seems certain that the world will be even more crowded and more connected. Will the increasing scale of human activities affect the environment? In particular, will the world be warmer? How is its climate likely to change?

Before addressing future climate changes, what can be said about climate changes in the past? In the more distant past there have been very large changes. The last million years has seen a succession of major ice ages interspersed with warmer periods. The last of these ice ages began to come to an end about 20 000 years ago and we are now in what is called an interglacial period. [Chapter 4](#) will focus on these times far back in the past. But have there been changes in the very much shorter period of living memory – over the past few decades?

Variations in day-to-day weather are occurring all the time; they are very much part of our lives. The climate of a region is its average weather over a period that may be a few months, a season or a few years. Variations in climate are also very familiar to us. We describe summers as wet or dry, winters as mild, cold or stormy. In the British Isles, as in many parts of the world, no season is the same as the last or indeed the same as any previous season, nor will it be repeated in detail next time round. Most of these variations we take for granted; they add a lot of interest to our lives. Those we particularly notice are the extreme situations and the climate disasters (for instance, [Figure 1.1](#) shows the significant climate events and disasters during the year 1998 – one of the warmest years on record). Most of the worst disasters in the world are, in fact, weather- or climate-related. Our news media are constantly bringing them to our notice as they occur in different parts of the world – tropical cyclones (called hurricanes or typhoons), windstorms, floods and tornadoes, also droughts whose effects occur more slowly, but which are probably the most damaging disasters of all.

The last 30 years

The closing decades of the twentieth century and the early years of the present century were unusually warm. Globally speaking, the last 30 years have been the warmest since accurate records began somewhat over 100 years ago. Twelve of the 13 years 1995 to 2007 rank among the 13 warmest in the instrumental record of global surface air temperature that began around 1850, the

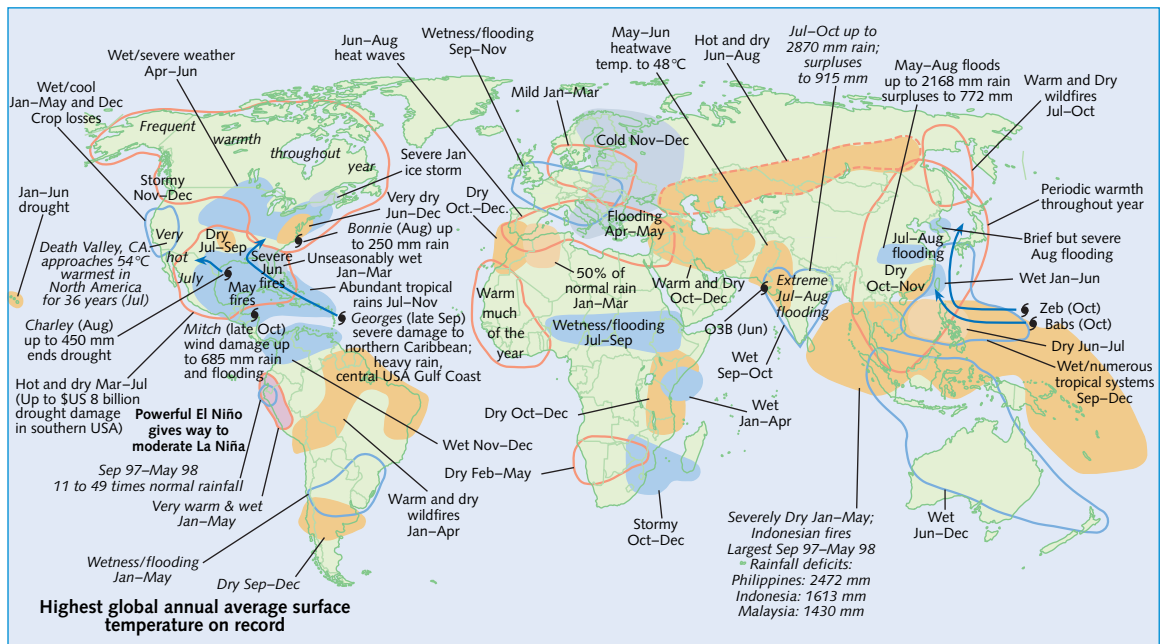
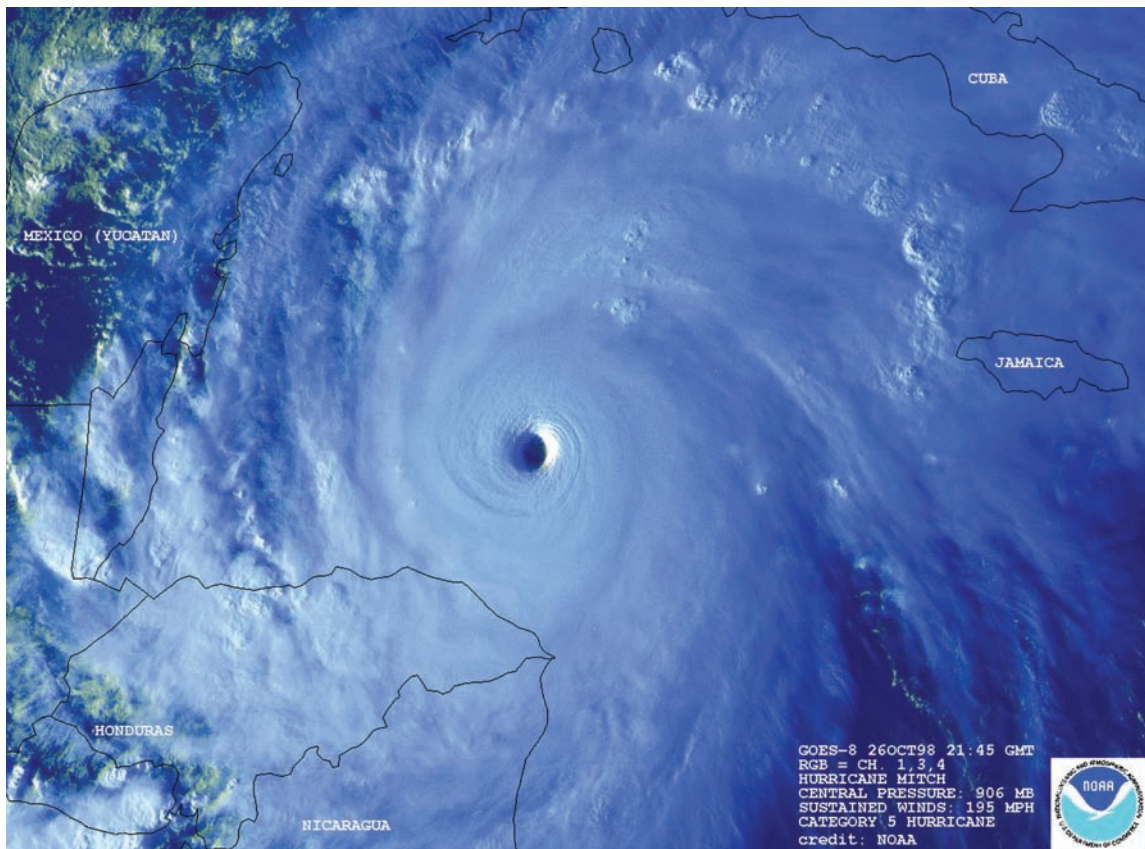


Figure 1.1 Significant climate anomalies and events during 1998 as recorded by the Climate Prediction Center of the National Oceanic and Atmospheric Administration (NOAA) of the United States.

years 1998 and 2005 being the warmest (different analyses disagree which is the warmer of the two). The Intergovernmental Panel on Climate Change in its 2007 Assessment¹ states:

Warming of the climate system is unequivocal, as is now evident from observations of increases in global average air and ocean temperatures, widespread melting of snow and ice, and rising global average sea level.

The period has also been remarkable (just how remarkable will be considered later) for the frequency and intensity of extremes of weather and climate. Let me give a few examples. An extremely unusual heatwave in central Europe occurred in the summer of 2003 and led to the premature deaths of over 20000 people (see [Chapter 7](#), page 215). Periods of unusually strong winds have been experienced in western Europe. During the early hours of the morning of 16 October 1987, over 15 million trees were blown down in southeast England and the London area. The storm also hit northern France, Belgium and the Netherlands with ferocious intensity; it turned out to be the worst storm experienced in the area since 1703. Storm-force winds of similar or even greater intensity but covering a greater area of western Europe have struck since – on four occasions in 1990 and three occasions in December 1999.



Hurricane Mitch was one of the deadliest and most powerful hurricanes on record in the Atlantic basin, with maximum sustained winds of 180 mph (290 km h⁻¹). The storm was the thirteenth tropical storm, ninth hurricane and third major hurricane of the 1998 Atlantic hurricane season.

But those storms in Europe were mild by comparison with the much more intense and damaging storms other parts of the world have experienced during these years. About 80 hurricanes and typhoons – other names for tropical cyclones – occur around the tropical oceans each year, familiar enough to be given names: Hurricane Gilbert caused devastation on the island of Jamaica and the coast of Mexico in 1988, Typhoon Mireille hit Japan in 1991, Hurricane Andrew caused a great deal of damage in Florida and other regions of the southern United States in 1992, Hurricane Mitch caused great devastation in Honduras and other countries of central America in 1998 and Hurricane Katrina caused record damages as it hit the Gulf Coast of the United States in 2005 are notable recent examples. Low-lying areas such as Bangladesh are particularly vulnerable to the storm surges associated with tropical cyclones; the combined

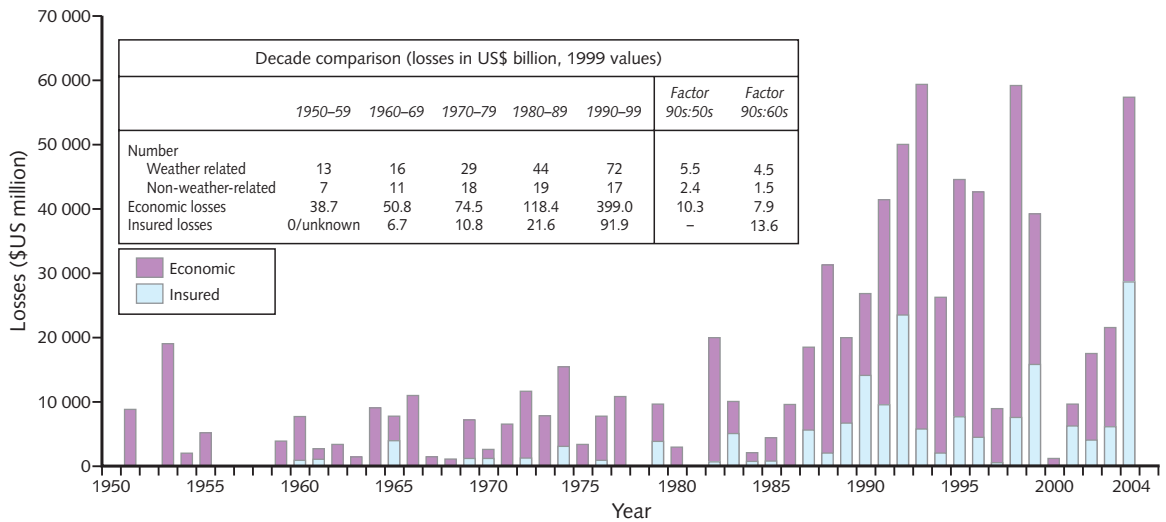


Figure 1.2 The total economic costs and the insured costs of catastrophic weather events for the period 1950 to 2004 as recorded by the Munich Re insurance company. For 2005, because of Hurricane Katrina in the USA the figures are off the page – over \$US200 billion for economic losses and over \$US80 billion for insured losses. Both costs show a rapid upward trend in recent decades. The number of non-weather-related disasters is included for comparison. [Tables 7.3 and 7.4](#) in [Chapter 7](#) provide some regional detail and list some of the recent disasters with the greatest economic and insured losses.

effect of intensely low atmospheric pressure, extremely strong winds and high tides causes a surge of water which can reach far inland. In one of the worst such disasters in the twentieth century over 250 000 people were drowned in Bangladesh in 1970. The people of that country experienced another storm of similar proportions in 1999 as did the neighbouring Indian state of Orissa also in 1999, and smaller surges are a regular occurrence in that region.

The increase in storm intensity during recent years has been tracked by the insurance industry, which has been hit hard by recent disasters. Until the mid 1980s, it was widely thought that windstorms or hurricanes with insured losses exceeding \$US1 billion (thousand million) were only possible, if at all, in the United States. But the gales that hit western Europe in October 1987 heralded a series of windstorm disasters that make losses of \$US10 billion seem commonplace. Hurricane Andrew, for instance, left in its wake insured losses estimated at nearly \$US21 billion (1999 prices) with estimated total economic losses of nearly \$US37 billion. [Figure 1.2](#) shows the costs of weather-related disasters² over the past 50 years as calculated by the insurance industry. It shows an increase in economic losses in such events by a factor of over 10 in real terms between the 1950s and the present day. Some of this increase can be attributed



Flooded McDonald's, Festus, Missouri in 1993. The spot where this photo was taken is nearly 1.5 miles (2.5 km) and 30 feet (9 m) above the river.

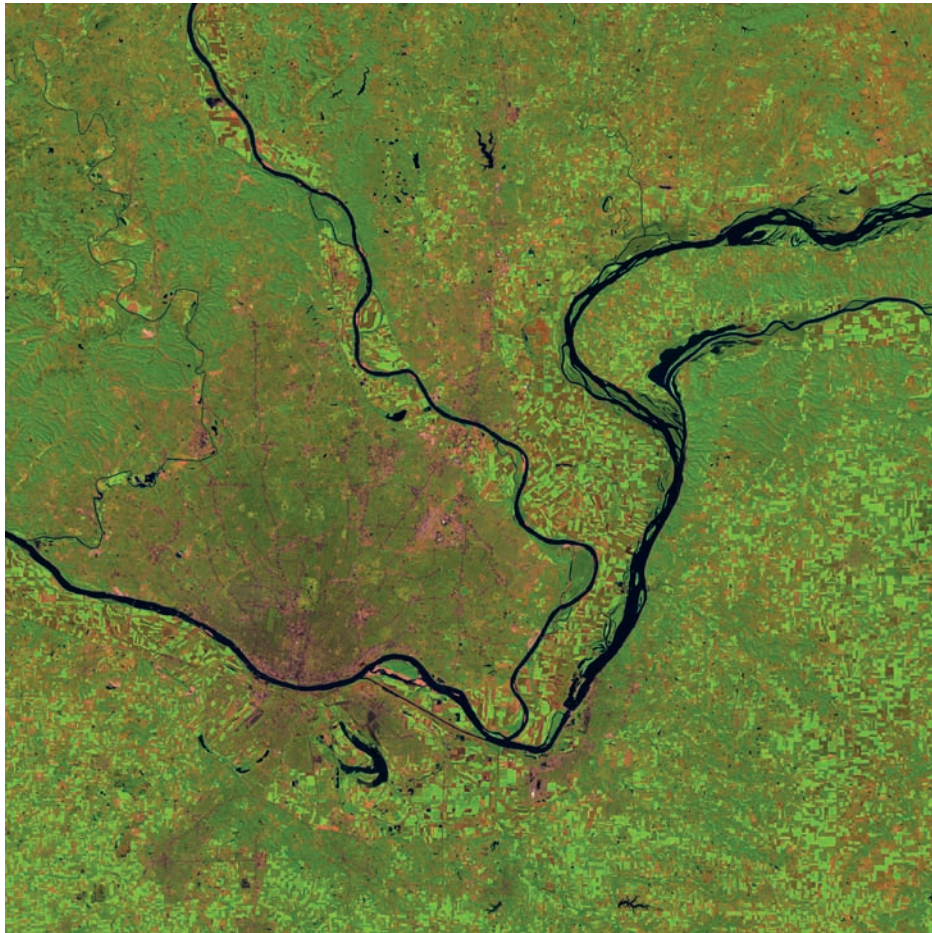
to the growth in population in particularly vulnerable areas and to other social or economic factors; the world community has undoubtedly become more vulnerable to disasters. However, a significant part of it has also arisen from the increased storminess in the recent years compared with the 1950s.

Windstorms or hurricanes are by no means the only weather and climate extremes that cause disasters. Floods due to unusually intense or prolonged rainfall or droughts because of long periods of reduced rainfall (or its complete absence) can be even more devastating to human life and property. These events occur frequently in many parts of the world especially in the tropics and subtropics. There have been notable examples during the last two decades. Let me mention a few of the floods. In 1988, the highest flood levels ever recorded occurred in Bangladesh, and 80% of the entire country was affected; China experienced devastating floods affecting many millions of people in 1991, 1994–5 and 1998; in 1993, flood waters rose to levels higher than ever recorded in the region of the Mississippi and Missouri rivers in the United States, flooding an area equivalent in size to one of the Great Lakes; major floods in Venezuela in 1999 led to a large landslide and left 30 000 people dead; two widespread floods in Mozambique occurred within a year in 2000–1 leaving over half a million homeless; and in the summer of 2002 Europe experienced its worst floods for centuries. Droughts during these years have been particularly intense and prolonged in areas of Africa, both north and south. It is in Africa especially that they bear on the most vulnerable in the world, who have little resilience to major disasters. [Figure 1.3](#) shows that in the 1980s droughts accounted for more deaths in Africa than all other disasters added together and illustrates the scale of the problem.

El Niño events

Rainfall patterns which lead to floods and droughts especially in tropical and semi-tropical areas are strongly influenced by the surface temperature of the oceans around the world, particularly the pattern of ocean surface temperature in the Pacific off the coast of South America (see [Chapter 5](#) and [Figure 5.9](#)). About every three to five years a large area of warmer water appears and persists for a year or more. Because they usually occur around Christmas these are known as El Niño ('the boy child') events.³ They have been well known for centuries to the countries along the coast of South America because of their devastating effect on the fishing industry; the warm top waters of the ocean prevent the nutrients from lower, colder levels required by the fish from reaching the surface.

A particularly intense El Niño, the second most intense in the twentieth century, occurred in 1982–3; the anomalous highs in ocean surface temperature



The Great Flood of 1993 occurred in the American Midwest, along the Mississippi and Missouri rivers from April to October 1993. The flood was among the most costly and devastating to ever occur in the United States, with \$US15 billion in damages, and a flooded area of around 30 000 square miles (80 000 km²). These images from Landsat-5 Thematic Mapper show the Mississippi near St Louis before and during the flood.

compared to the average reached 7°C. Droughts and floods somewhere in almost all the continents were associated with that El Niño (Figure 1.4). Like many events associated with weather and climate, El Niños often differ very much in their detailed character; that has been particularly the case with the El Niño events of the 1990s. For instance, the El Niño event that began in 1990 and reached maturity early in 1992, apart from some weakening in mid 1992, continued to be dominated by the warm phase until 1995. The exceptional floods in the central United States and in the Andes and droughts in Australia and Africa



are probably linked with this unusually protracted El Niño. This, the longest El Niño of the twentieth century, was followed in 1997–8 by the century’s most intense El Niño which brought exceptional floods to China and to the Indian sub-continent and drought to Indonesia – that in turn brought extensive forest fires creating an exceptional blanket of thick smog which was experienced over 1000 miles away (Figure 1.1).

Studies with computer models of the kind described later (in Chapter 5) provide a scientific basis for links between the El Niño and these extreme weather events; they also give some confidence that useful forecasts of such disasters will in due course be possible. A scientific question that is being urgently addressed is the possible link between the character and intensity of El Niño events and global warming due to human-induced climate change.

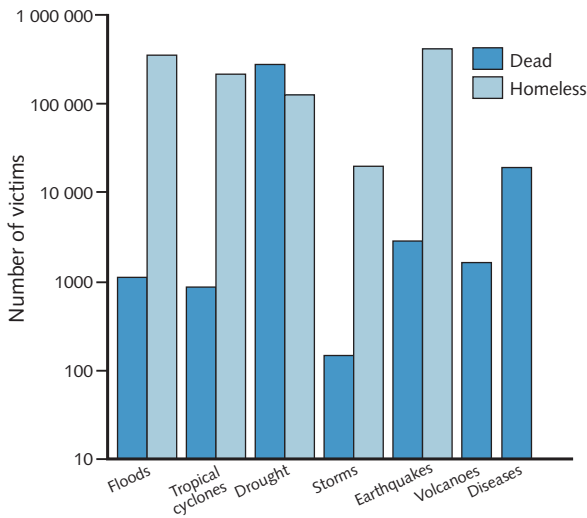


Figure 1.3 Recorded disasters in Africa, 1980–9, estimated by the Organization for African Unity. Note the logarithmic scale.

The effect of volcanic eruptions on temperature extremes

Natural events such as volcanoes can also affect the climate. Volcanoes inject enormous quantities of dust and gases into the upper atmosphere. Large amounts of sulphur dioxide are included, which through photochemical reactions using the Sun's energy are transformed to sulphuric acid and sulphate particles. Typically these particles remain in the stratosphere (the region of atmosphere above about 10 km in altitude) for several years before they fall into the lower atmosphere and are quickly washed out by rainfall.

During this period they disperse around the

whole globe and cut out some of the radiation from the Sun, thus tending to cool the lower atmosphere.

One of the largest volcanic eruptions in the twentieth century was that from Mount Pinatubo in the Philippines on 12 June 1991 which injected about 20 million tonnes of sulphur dioxide into the stratosphere together with enormous amounts of dust. This stratospheric dust caused spectacular sunsets around the world for many months following the eruption. The amount of radiation from the Sun reaching the lower atmosphere fell by about 2%. Global average temperatures lower by about a quarter of a degree Celsius were experienced for the following two years. There is also evidence that some of the unusual weather patterns of 1991 and 1992, for instance unusually cold winters in the Middle East and mild winters in western Europe, were linked with effects of the volcanic dust.

Vulnerability to change

Over the centuries, although different human communities have adapted to their particular climate, any large change to the average climate tends to bring stress of one kind or another. It is particularly the extreme climate events and climate disasters that emphasise the importance of climate to our lives and that demonstrate to countries around the world their vulnerability to climate change – a vulnerability that is enhanced by rapidly increasing world population and demands on resources.

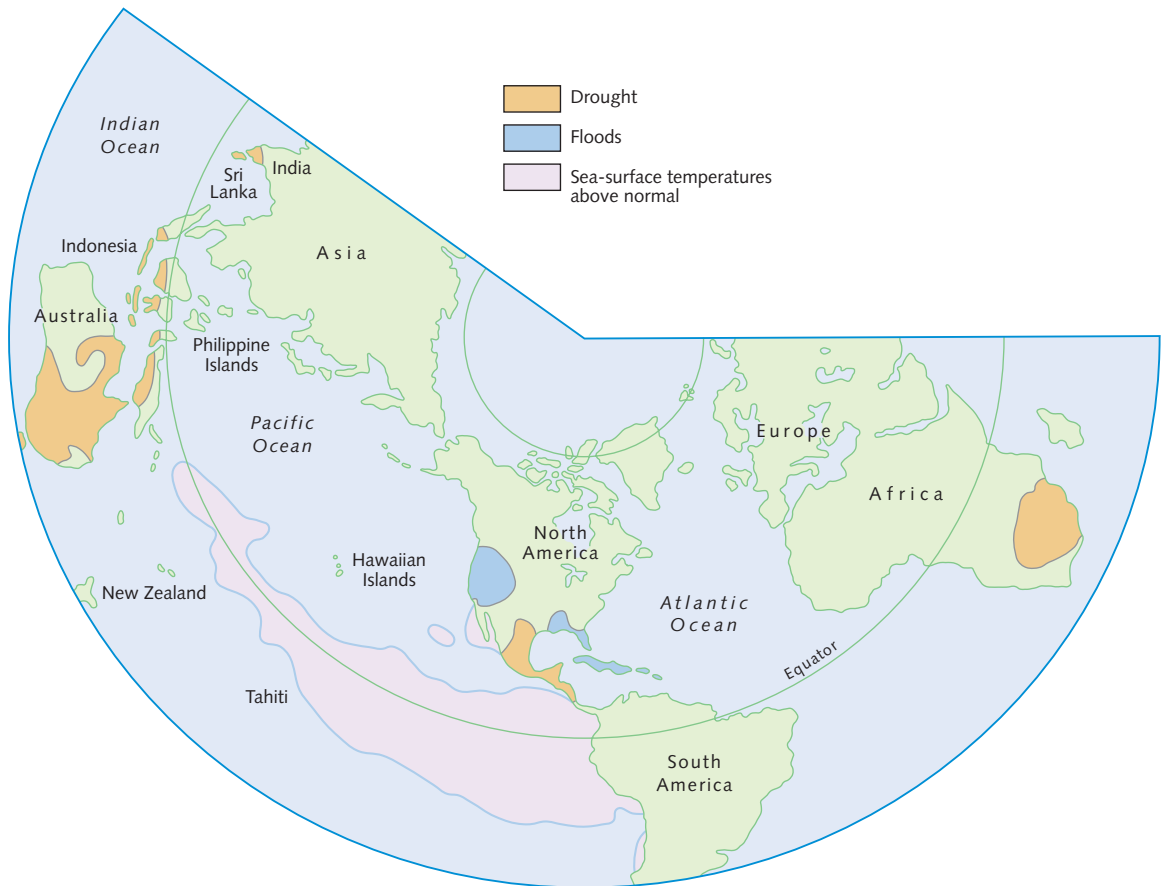
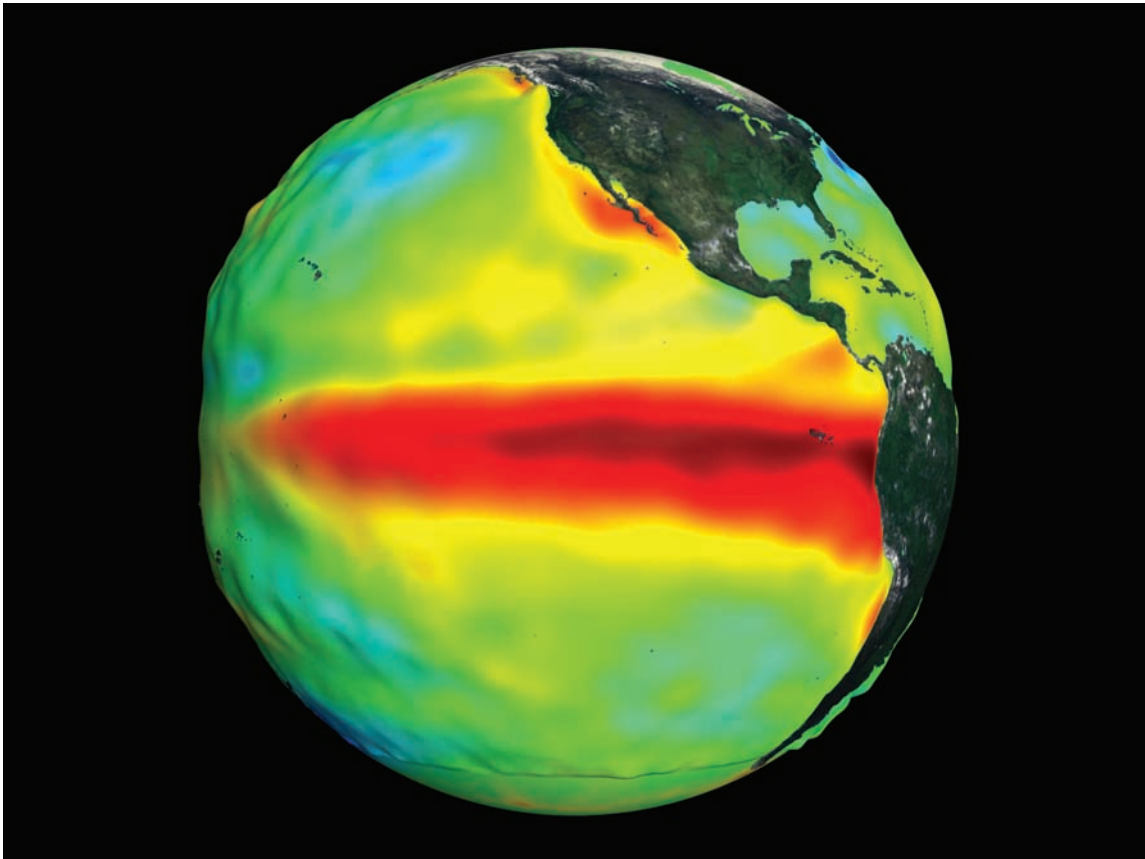


Figure 1.4 Regions where droughts and floods occurred associated with the 1982–3 El Niño.

But the question must be asked: how remarkable are these extreme events that I have been listing? Do they point to a changing climate due to human activities? Here a note of caution must be sounded. The range of normal natural climate variation is large. Climate extremes are nothing new. Climate records are continually being broken. In fact, a month without a broken record somewhere would itself be something of a record!

Many of us may remember the generally cold period over large areas of the world during the 1960s and early 1970s that caused speculation that the world was heading for an ice age. A British television programme about climate change called ‘The ice age cometh’ was prepared in the early 1970s and widely screened – but the cold trend soon came to an end. We must not be misled by our relatively short memories.



The El Niño event of 1997–8 is the most intense on record. One result was the drought that led to forest fires in Asia, which burned thousands of square miles of rainforest, plantations, conversion forest and scrubland in Indonesia alone. The above shows a superposition of sea surface temperature anomalies on anomalies of the sea surface elevation, showing warm water building up eastwards across the Pacific Ocean and reaching South America.

We may be sure about the warming that has occurred over the last few decades but do we have the evidence that this is linked with the development of human industry over the last 200 years? To identify climate change related to this development, we need to look for trends in global warming over similar lengths of time. They are long compared with both the memories of a generation and the period for which accurate and detailed records exist. Although, therefore, it can be ascertained that there was more storminess, for instance, in the region of the north Atlantic during the 1980s and 1990s than in the previous three decades, it is difficult to know just how exceptional those decades were compared with other periods in previous centuries. There is even more difficulty in tracking detailed climate trends in many other parts of the world,

owing to the lack of adequate records; further, trends in the frequency of rare events are not easy to detect.

What is important is continually to make careful comparisons between practical observations of the climate and its changes and what scientific knowledge leads us to expect. During the last few years, as the occurrence of extreme events has made the public much more aware of environmental issues,⁴ scientists in their turn have become more sure about just what human activities are doing to the climate. Later chapters will look in detail at the science of global warming and at the climate changes that we can expect, as well as investigating how these changes fit in with the recent climate record. First, however, I present a brief outline of our current scientific understanding.

What is global warming?

We know for sure that because of human activities, especially the burning of fossil fuels, coal, oil and gas, together with widespread deforestation, the gas carbon dioxide has been emitted into the atmosphere in increasing amounts over the past 200 years and more substantially over the past 50 years. Every year these emissions currently add to the carbon already present in the atmosphere a further 8000 million tonnes, much of which is likely to remain there for a period of 100 years or more. Because carbon dioxide is a good absorber of heat radiation coming from the Earth's surface, increased carbon dioxide acts like a blanket over the surface, keeping it warmer than it would otherwise be. With the increased temperature the amount of water vapour in the atmosphere also increases, providing more blanketing and causing it to be even warmer. The gas methane is also increasing because of different human activities, for instance mining and agriculture, and adding to the problem.

Being kept warmer may sound appealing to those of us who live in cool climates. However, an increase in global temperature will lead to global climate change. If the change were small and occurred slowly enough we would almost certainly be able to adapt to it. However, with rapid expansion taking place in the world's industry the change is unlikely to be either small or slow. The estimate I present in later chapters is that, in the absence of efforts to curb the rise in the emissions of carbon dioxide, the global average temperature will rise by about a third of a degree Celsius or more every ten years – or three or more degrees in a century.

This may not sound very much, especially when it is compared with normal temperature variations from day to night or between one day and the next. But it is not the temperature at one place but the temperature averaged over the whole globe. The predicted rate of change of 3 °C a century is probably faster than the global average temperature has changed at any time over the

past 10 000 years. And as there is a difference in global average temperature of only about five or six degrees between the coldest part of an ice age and the warm periods in between ice ages (see Figure 4.6), we can see that a few degrees in this global average can represent a big change in climate. It is to this change and especially to the very rapid rate of change that many ecosystems and human communities (especially those in developing countries) will find it difficult to adapt.

Not all the climate changes will in the end be adverse. While some parts of the world experience more frequent or more severe droughts, floods or significant sea level rise, in other places crop yields may increase due to the fertilising effect of carbon dioxide. Other places, perhaps for instance in the sub-arctic, may become more habitable. Even there, though, the likely rate of change will cause problems: large damage to buildings will occur in regions of melting permafrost, and trees in sub-arctic forests like trees elsewhere will not have time to adapt to new climatic regimes.

Scientists are confident about the fact of global warming and climate change due to human activities. However, uncertainty remains about just how large the warming will be and what will be the patterns of change in different parts of the world. Although useful indications can be given, scientists cannot yet say in precise detail which regions will be most affected. Intensive research is needed to improve the confidence in scientific predictions.

Adaptation and mitigation

An integrated view of anthropogenic climate change is presented in Figure 1.5 where a complete cycle of cause and effect is shown. Begin in the box at the bottom where economic activity, both large and small scale, whether in developed or developing countries, results in emissions of greenhouse gases (of which carbon dioxide is the most important) and aerosols. Moving in a clockwise direction around the diagram, these emissions lead to changes in atmospheric concentrations of important constituents that alter the energy input and output of the climate system and hence cause changes in the climate. These climate changes impact both humans and natural ecosystems altering patterns of resource availability and affecting human livelihood and health. These impacts in their turn affect human development in all its aspects. Anticlockwise arrows illustrate possible development pathways and global emission constraints that would reduce the risk of future impacts that society may wish to avoid.

Figure 1.5 also shows how both causes and effects can be changed through *adaptation* and *mitigation*. In general adaptation is aimed at reducing the effects

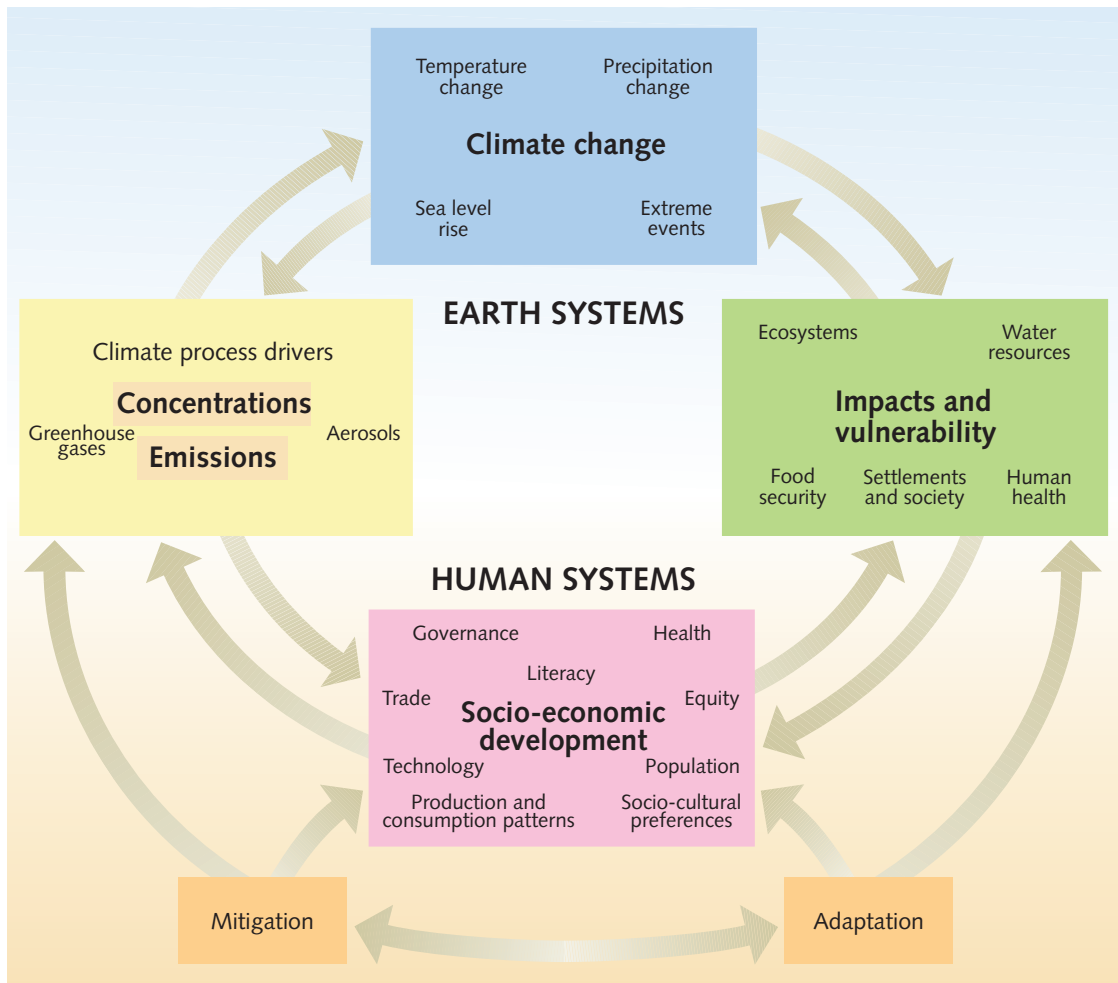


Figure 1.5 Climate change – an integrated framework (see text for explanation).

and mitigation is aimed at reducing the causes of climate change, in particular the emissions of the gases that give rise to it.

Uncertainty and response

Predictions of the future climate are surrounded with considerable uncertainty which arises from our imperfect knowledge both of the science of climate change and of the future scale of the human activities that are its cause. Politicians and others making decisions are therefore faced with the need to weigh all aspects of uncertainty against the desirability and the cost of the actions that

can be taken in response to the threat of climate change. Some mitigating action can be taken easily at relatively little cost (or even at a net saving of cost), for instance the development of programmes to conserve and save energy, and many schemes for reducing deforestation and encouraging the planting of trees. Other actions such as a large shift to energy sources that are free from significant carbon dioxide emissions (for example, renewable sources: biomass, hydro, wind or solar energy) both in the developed and the developing countries of the world will take some time. Because, however, of the long timescales that are involved in the development of new energy infrastructure and in the response of the climate to emissions of gases like carbon dioxide, there is an urgency to begin these actions now. As we shall argue later ([Chapter 9](#)), to ‘wait and see’ is an irresponsible response.

In the following chapters I shall first explain the science of global warming, the evidence for it and the current state of the art regarding climate prediction. I shall then go on to say what is known about the likely impacts of climate change – on sea level, extreme events, water and food supplies, for instance. The questions of why we should be concerned for the environment and what action should be taken in the face of scientific uncertainty are followed by consideration of the technical possibilities for large reductions in the emissions of carbon dioxide and how these might affect our energy sources and usage, including means of transport.

Finally I will address the issue of the ‘global village’. So far as the environment is concerned, national boundaries are becoming less and less important; pollution in one country can now affect the whole world. Further, it is increasingly realised that problems of the environment are linked to other global problems such as population growth, poverty, the overuse of resources and global security. All these pose global challenges that must be met by global solutions.

QUESTIONS

- 1 Look through recent copies of newspapers and magazines for articles that mention climate change, global warming or the greenhouse effect. How many of the statements made are accurate?
- 2 Make up a simple questionnaire about climate change, global warming and the greenhouse effect to find out how much people know about these subjects, their relevance and importance. Analyse results from responses to the questionnaire in terms of the background of the respondents. Suggest ways in which people could be better informed.

► FURTHER READING AND REFERENCE

Walker, Gabrielle and King, Sir David. 2008. *The Hot Topic*. London: Bloomsbury. A masterful paperback on climate change for the general reader covering the science, impacts, technology and political solutions.

NOTES FOR CHAPTER 1

- 1 Summary for policymakers, p. 5 in Solomon, S., Qin, D., Manning, M., Chen, Z., Marquis, M., Averyt, K.B., Tignor, M., Miller, H.L. (eds.) 2007. *Climate Change 2007: The Physical Science Basis. Contribution of Working Group 1 to the Fourth Assessment Report of the Intergovernmental Panel on Climate Change*. Cambridge: Cambridge University Press.
- 2 Including windstorms, hurricanes or typhoons, floods, tornadoes, hailstorms and blizzards but not including droughts because their impact is not immediate and occurs over an extended period.
- 3 A description of the variety of El Niño events and their impacts on different communities worldwide over centuries of human history can be found in a paperback by Ross Couiper-Johnston, *El Niño: The Weather Phenomenon that Changed the World*. 2000. London: Hodder and Stoughton.
- 4 A gripping account of some of the changes over recent decades can be found in a book by Mark Lynas, *High Tides: News from a Warming World*. 2004. London: Flamingo.

2

The greenhouse effect



This view of the rising Earth greeted the Apollo 8 astronauts as they came out from behind the Moon.

THE BASIC principle of global warming can be understood by considering the radiation energy from the Sun that warms the Earth's surface and the thermal radiation from the Earth and the atmosphere that is radiated out to space. On average these two radiation streams must balance. If the balance is disturbed (for instance by an increase in atmospheric carbon dioxide) it can be restored by an increase in the Earth's surface temperature.

How the Earth keeps warm

To explain the processes that warm the Earth and its atmosphere, I will begin with a very simplified Earth. Suppose we could, all of a sudden, remove from the atmosphere all the clouds, the water vapour, the carbon dioxide and all the other minor gases and the dust, leaving an atmosphere of nitrogen and oxygen only. Everything else remains the same. What, under these conditions, would happen to the atmospheric temperature?

The calculation is an easy one, involving a relatively simple radiation balance. Radiant energy from the Sun falls on a surface of one square metre in area outside the atmosphere and directly facing the Sun at a rate of about 1370 watts – about the power radiated by a reasonably sized domestic electric fire. However, few parts of the Earth's surface face the Sun directly and in any case for half the time they are pointing away from the Sun at night, so that the average energy falling on one square metre of a level surface outside the atmosphere is only one-quarter of this¹ or about 342 watts. As this radiation passes through the atmosphere a small amount, about 6%, is scattered back to space by atmospheric molecules. About 10% on average is reflected back to space from the land and ocean surface. The remaining 84%, or about 288 watts per square metre on average, remains actually to heat the surface – the power used by three good-sized incandescent electric light bulbs.

To balance this incoming energy, the Earth itself must radiate on average the same amount of energy back to space (Figure 2.1) in the form of thermal radiation. All objects emit this kind of radiation; if they are hot enough we can see the radiation they emit. The Sun at a temperature of about 6000 °C looks white; an electric fire at 800 °C looks red. Cooler objects emit radiation that cannot be seen by our eyes and which lies at wavelengths beyond the red end of the spectrum – infrared radiation (sometimes called longwave radiation to distinguish it from the shortwave radiation from the Sun). On a clear, starry winter's night we are very aware of the cooling effect of this kind of radiation being emitted by the Earth's surface into space – it often leads to the formation of frost.

The amount of thermal radiation emitted by the Earth's surface depends on its temperature – the warmer it is, the more radiation is emitted. The amount of radiation also depends on how absorbing the surface is; the greater the

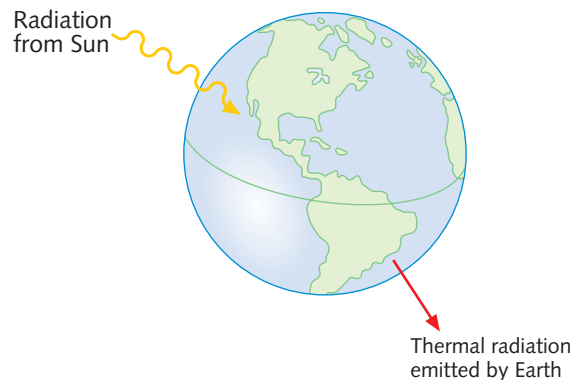


Figure 2.1 The radiation balance of planet Earth. The net incoming solar radiation is balanced on average by outgoing thermal radiation from the Earth.

Table 2.1 The composition of the atmosphere, the main constituents (nitrogen and oxygen) and the greenhouse gases as in 2007

Gas	Mixing ratio or mole fraction ^a expressed as fraction* or parts per million (ppm)
Nitrogen (N ₂)	0.78*
Oxygen (O ₂)	0.21*
Water vapour (H ₂ O)	Variable (0–0.02*)
Carbon dioxide (CO ₂)	380
Methane (CH ₄)	1.8
Nitrous oxide (N ₂ O)	0.3
Chlorofluorocarbons	0.001
Ozone (O ₃)	Variable (0–1000)

^aFor definition see Glossary.

absorption, the more the radiation. Most of the surfaces on the Earth, including ice and snow, would appear ‘black’ if we could see them at infrared wavelengths; that means that they absorb nearly all the thermal radiation which falls on them instead of reflecting it. It can be calculated² that the 288 W m⁻² of incoming solar radiation received by the Earth’s surface can be balanced by thermal radiation emitted by the surface at a temperature of -6 °C.³ This is over 20 °C colder than is actually the case. In fact, an average of temperatures measured near the surface all over the Earth – over the oceans as well as the land – averaging, too, over the whole year, comes to about 15 °C. Some factor not yet taken into account is needed to explain this difference.

The greenhouse effect

The gases nitrogen and oxygen that make up the bulk of the atmosphere (Table 2.1 gives details of the atmosphere’s composition) neither absorb nor emit thermal radiation. It is the water vapour, carbon dioxide and some other minor gases present in the atmosphere in much smaller quantities (Table 2.1) that absorb some of the thermal radiation leaving the surface, acting as a partial blanket for this radiation and causing the difference of 20 to 30 °C between the actual average surface temperature on the Earth of about 15 °C and the temperature that would apply if greenhouse gases were absent.⁴ This blanketing is known as the *natural greenhouse effect* and the gases are

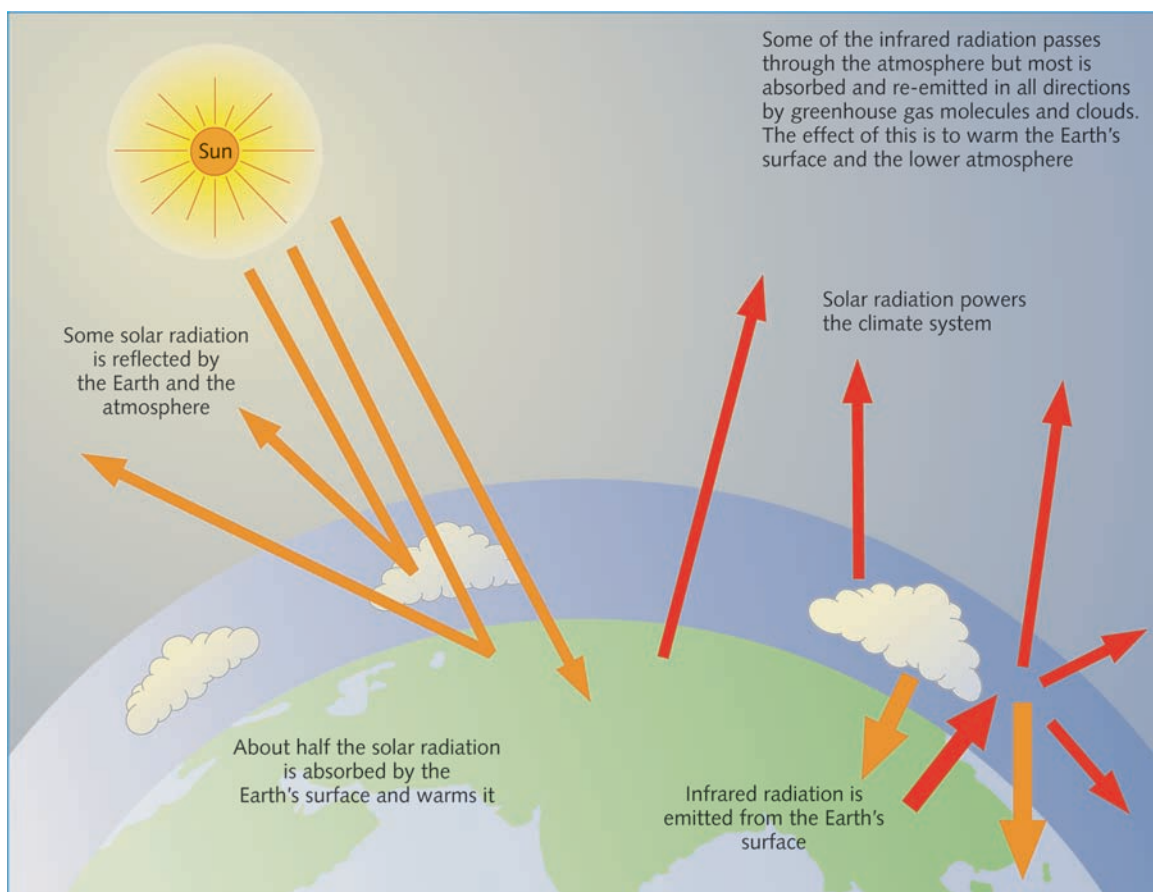


Figure 2.2 Schematic of the natural greenhouse effect.

known as greenhouse gases (Figure 2.2). It is called 'natural' because all the atmospheric gases (apart from the chlorofluorocarbons – CFCs) were there long before human beings came on the scene. Later on I will mention the *enhanced greenhouse effect*: the added effect caused by the gases present in the atmosphere due to human activities such as deforestation and the burning of fossil fuels.

The basic science of the greenhouse effect has been known since early in the nineteenth century (see box) when the similarity between the radiative properties of the Earth's atmosphere and of the glass in a greenhouse (Figure 2.3) was first pointed out – hence the name 'greenhouse effect'. In a greenhouse, visible radiation from the Sun passes almost unimpeded through the glass and is absorbed by the plants and the soil inside. The thermal radiation that is emitted by the plants and soil is, however, absorbed by the glass that re-emits some

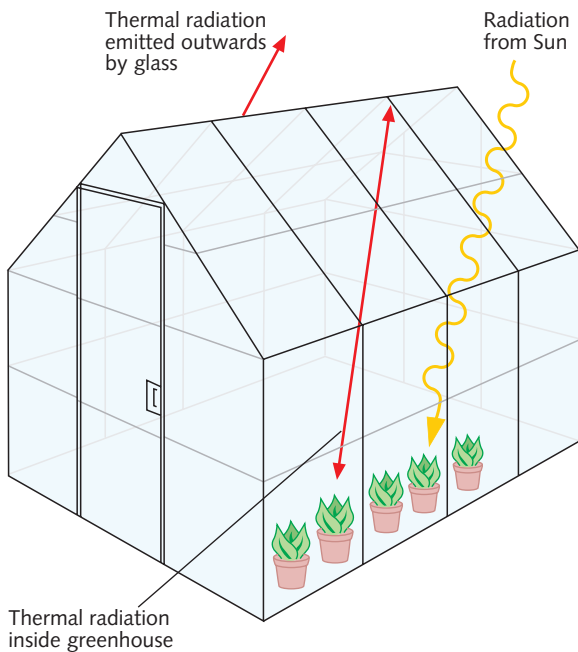


Figure 2.3 A greenhouse has a similar effect to the atmosphere on the incoming solar radiation and the emitted thermal radiation.

of it back into the greenhouse. The glass thus acts as a ‘radiation blanket’ helping to keep the greenhouse warm.

However, the transfer of radiation is only one of the ways heat is moved around in a greenhouse. A more important means of heat transfer is convection, in which less dense warm air moves upwards and more dense cold air moves downwards. A familiar example of this process is the use of convective electric heaters in the home, which heat a room by stimulating convection in it. The situation in the greenhouse is therefore more complicated than would be the case if radiation were the only process of heat transfer.

Mixing and convection are also present in the atmosphere, although on a much larger scale, and in order to achieve a proper understanding of the greenhouse effect, convective heat transfer processes in the atmosphere must be taken into account as well as radiative ones.

Within the atmosphere itself (at least in the lowest three-quarters or so of the atmosphere up to a height of about 10 km which is called the troposphere) convection is, in fact, the dominant process for transferring heat. It acts as follows. The surface of the Earth is warmed by the sunlight it absorbs. Air close to the surface is heated and rises because of its lower density. As the air rises it expands and cools – just as the air cools as it comes out of the valve of a tyre. As some air masses rise, other air masses descend, so the air is continually turning over as different movements balance each other out – a situation of convective equilibrium. Temperature in the troposphere falls with height at a rate determined by these convective processes; the fall with height (called the lapse rate) turns out on average to be about 6 °C per kilometre of height (Figure 2.4).

A picture of the transfer of radiation in the atmosphere may be obtained by looking at the thermal radiation emitted by the Earth and its atmosphere as observed from instruments on satellites orbiting the Earth (Figure 2.5). At some wavelengths in the infrared the atmosphere – in the absence of clouds – is largely transparent, just as it is in the visible part of the spectrum. If our eyes were sensitive at these wavelengths we would be able to peer through the

Pioneers of the science of the greenhouse effect⁵

The warming effect of the greenhouse gases in the atmosphere was first recognised in 1827 by the French scientist Jean-Baptiste Fourier, best known for his contributions to mathematics. He also pointed out the similarity between what happens in the atmosphere and in the glass of a greenhouse, which led to the name 'greenhouse effect'. The next step was taken by a British scientist, John Tyndall, who, around 1860,



Svante August Arrhenius (19 February 1859 – 2 October 1927).

measured the absorption of infrared radiation by carbon dioxide and water vapour; he also suggested that a cause of the ice ages might be a decrease in the greenhouse effect of carbon dioxide. It was a Swedish chemist, Svante Arrhenius, in 1896, who calculated the effect of an increasing concentration of greenhouse gases; he estimated that doubling the concentration of carbon dioxide would increase the global average temperature by 5 to 6°C, an estimate not too far from our present understanding.⁶ Nearly 50 years later, around 1940, G.S. Callendar, working in England, was the first to calculate the warming due to the increasing carbon dioxide from the burning of fossil fuels.

The first expression of concern about the climate change that might be brought about by increasing greenhouse gases was in 1957, when Roger Revelle and Hans Suess of the Scripps Institute of Oceanography in California published a paper which pointed out that in the build-up of carbon dioxide in the atmosphere, human beings are carrying out a large-scale geophysical experiment. In the same year, routine measurements of carbon dioxide were started from the observatory on Mauna Kea in Hawaii. The rapidly increasing use of fossil fuels since then, together with growing interest in the environment, has led to the topic of global warming moving up the political agenda through the 1980s, and eventually to the Climate Convention signed in 1992 – of which more in later chapters.

The first expression of concern about the climate change that might be brought about by increasing greenhouse gases was in 1957, when Roger Revelle and Hans Suess of the Scripps Institute of Oceanography in California published a paper which pointed out that in the build-up of carbon dioxide in the atmosphere, human beings are carrying out a large-scale geophysical experiment. In the same year, routine measurements of carbon dioxide

atmosphere to the Sun, stars and Moon above, just as we can in the visible spectrum. At these wavelengths all the radiation originating from the Earth's surface leaves the atmosphere.

At other wavelengths radiation from the surface is strongly absorbed by some of the gases present in the atmosphere, in particular by water vapour and carbon dioxide.

Objects that are good absorbers of radiation are also good emitters of it. A black surface is both a good absorber and a good emitter, while a highly reflecting surface absorbs rather little and emits rather little too (which is why highly

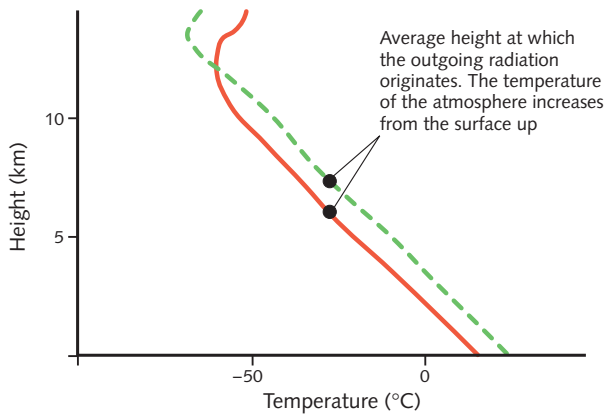


Figure 2.4 The distribution of temperature in a convective atmosphere (red line). The green line shows how the temperature increases when the amount of carbon dioxide present in the atmosphere is increased (in the diagram the difference between the lines is exaggerated – for instance, for doubled carbon dioxide in the absence of other effects the increase in temperature is about 1.2 °C). Also shown for the two cases are the average levels from which thermal radiation leaving the atmosphere originates (about 6 km for the unperturbed atmosphere).

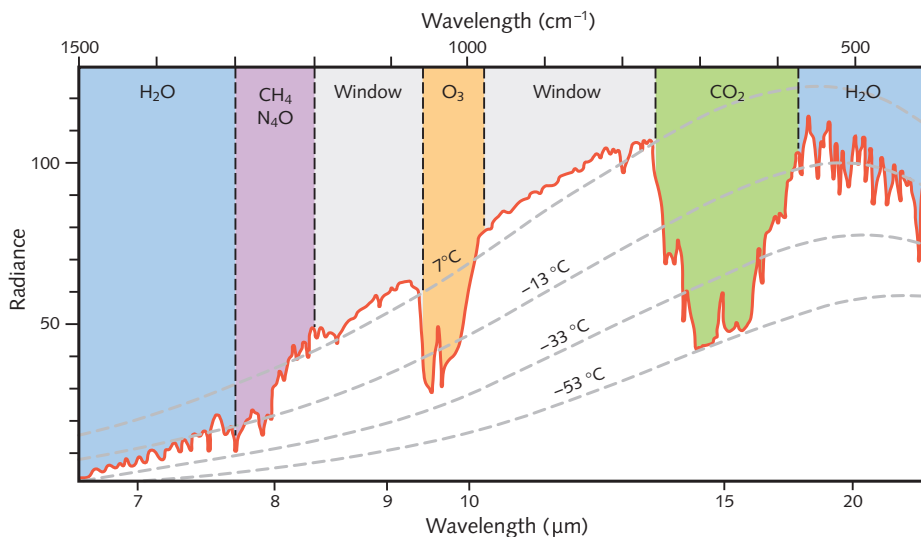


Figure 2.5 Thermal radiation in the infrared region (the visible part of the spectrum is between about 0.4 and 0.7 μm) emitted from the Earth's surface and atmosphere as observed over the Mediterranean Sea from a satellite instrument orbiting above the atmosphere, showing parts of the spectrum where different gases contribute to the radiation. Between the wavelengths of about 8 and 14 μm , apart from the ozone band, the atmosphere, in the absence of clouds, is substantially transparent; this is part of the spectrum called a 'window' region. Superimposed on the spectrum are curves of radiation from a black body at 7 °C, -13 °C, -33 °C and -53 °C. The units of radiance are watts per square metre per steradian per wavenumber.



Ice, oceans, land surfaces and clouds all play a role in determining how much incoming solar radiation the Earth reflects back into space.

reflecting foil is used to cover the surface of a vacuum flask and why it is placed above the insulation in the lofts of houses).

Absorbing gases in the atmosphere absorb some of the radiation emitted by the Earth's surface and in turn emit radiation out to space. The amount of thermal radiation they emit is dependent on their temperature.

Radiation is emitted out to space by these gases from levels somewhere near the top of the atmosphere – typically from between 5 and 10 km high (see [Figure 2.5](#)). Here, because of the convection processes mentioned earlier, the temperature is much colder – 30 to 50°C or so colder – than at the surface. Because the gases are cold, they emit correspondingly less radiation. What these gases have to do, therefore, is absorb some of the radiation emitted by the Earth's surface but then to emit much less radiation out to space. They, therefore, act as a radiation blanket over the surface (note that the outer surface of a blanket is colder than inside the blanket) and help to keep it warmer than it would otherwise be ([Figure 2.6](#)).

There needs to be a balance between the radiation coming in and the radiation leaving the top of the atmosphere – as there was in the very simple model with which this chapter started. [Figure 2.7](#) shows the various components of the radiation entering and leaving the top of the atmosphere for the real atmosphere situation. On average, 235 watts per square metre of solar

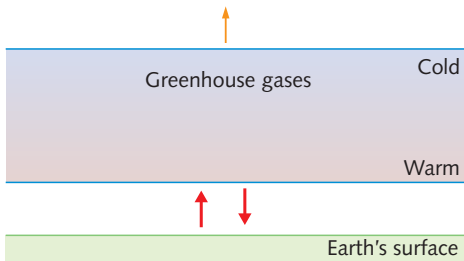


Figure 2.6 The blanketing effect of greenhouse gases.

radiation are absorbed by the atmosphere and the surface; this is less than the 288 watts mentioned at the beginning of the chapter, because now the effect of clouds is being taken into account. Clouds reflect some of the incident radiation from the Sun back out to space. However, they also absorb and emit thermal radiation and have a blanketing effect similar to that of the greenhouse gases. These two effects work in opposite senses: one (the reflection of solar radiation) tends

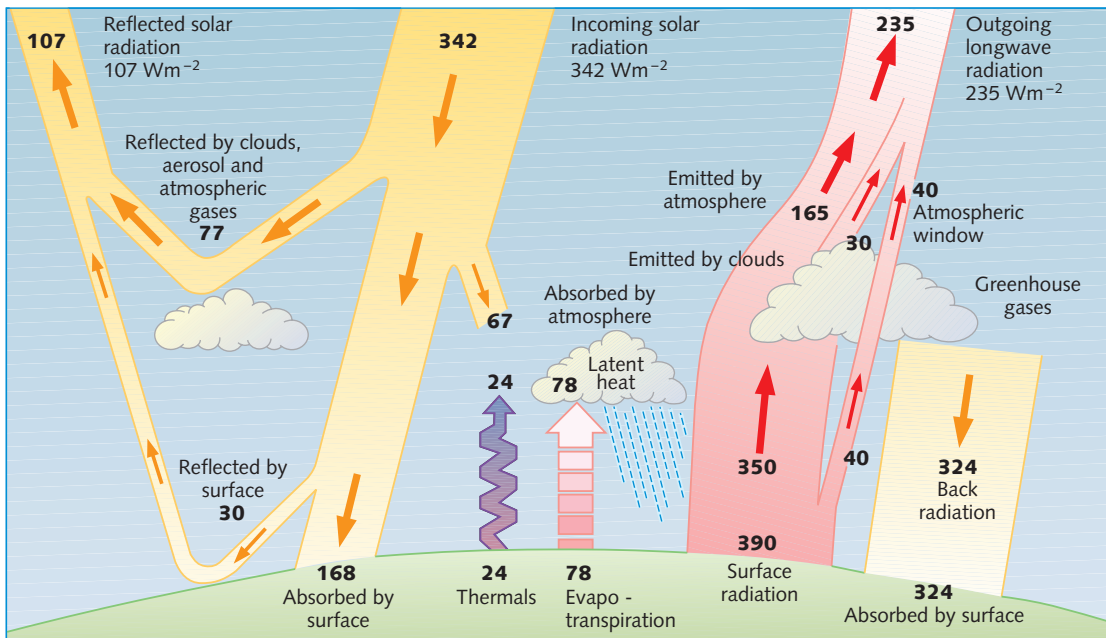


Figure 2.7 Components of the radiation (in watts per square metre) which on average enter and leave the Earth's atmosphere and make up the radiation budget for the atmosphere. About half of the incoming solar radiation is absorbed by the Earth's surface. This energy is transferred to the atmosphere by warming the air in contact with the surface (thermals), by evapotranspiration and by longwave radiation that is absorbed by clouds and greenhouse gases. The atmosphere in turn radiates longwave energy back to Earth as well as out to space.

to cool the Earth's surface and the other (the absorption of thermal radiation) tends to warm it. Careful consideration of these two effects shows that on average the net effect of clouds on the total budget of radiation results in a slight cooling of the Earth's surface.⁷

The numbers in [Figure 2.7](#) demonstrate the required balance: 235 watts per square metre on average coming in and 235 watts per square metre on average going out. The temperature of the surface and hence of the atmosphere above adjusts itself to ensure that this balance is maintained. It is interesting to note that the greenhouse effect can only operate if there are colder temperatures in the higher atmosphere. Without the structure of decreasing temperature with height, therefore, there would be no greenhouse effect on the Earth.

Mars and Venus

Similar greenhouse effects also occur on our nearest planetary neighbours, Mars and Venus. Mars is smaller than the Earth and possesses, by Earth's standards, a very thin atmosphere. A barometer on the surface of Mars would record an atmospheric pressure less than 1% of that on the Earth. Its atmosphere, which consists almost entirely of carbon dioxide, contributes a small but significant greenhouse effect.

The planet Venus, which can often be seen fairly close to the Sun in the morning or evening sky, has a very different atmosphere to Mars. Venus is about the same size as the Earth. A barometer for use on Venus would need to survive very hostile conditions and would need to be able to measure a pressure about 100 times as great as that on the Earth. Within the Venus atmosphere, which consists very largely of carbon dioxide, deep clouds consisting of droplets of almost pure sulphuric acid completely cover the planet and prevent most of the sunlight from reaching the surface. Some Russian space probes that have landed there have recorded what would be dusk-like conditions on the Earth – only 1% or 2% of the sunlight present above the clouds penetrates that far. One might suppose, because of the small amount of solar energy available to keep the surface warm, that it would be rather cool; on the contrary, measurements from the same Russian space probes find a temperature there of about 525 °C – a dull red heat, in fact.

The reason for this very high temperature is the greenhouse effect. Because of the very thick absorbing atmosphere of carbon dioxide, little of the thermal radiation from the surface can get out. The atmosphere acts as such an effective radiation blanket that, although there is not much solar energy to warm the surface, the greenhouse effect amounts to nearly 500 °C.



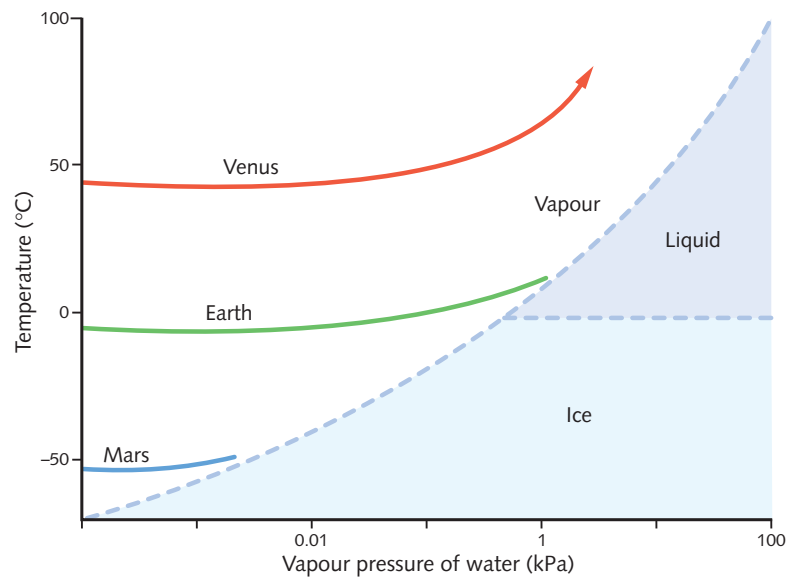
The planets Mars, Earth and Venus have significant atmospheres. This diagram shows the approximate relative sizes of the terrestrial planets.

The 'runaway' greenhouse effect

What occurs on Venus is an example of what has been called the 'runaway' greenhouse effect. It can be explained by imagining the early history of the Venus atmosphere, which was formed by the release of gases from the interior of the planet. To start with it would contain a lot of water vapour, a powerful greenhouse gas (Figure 2.8). The greenhouse effect of the water vapour would cause the temperature at the surface to rise. The increased temperature would lead to more evaporation of water from the surface, giving more atmospheric water vapour, a larger greenhouse effect and therefore a further increased surface temperature. The process would continue until either the atmosphere became saturated with water vapour or all the available water had evaporated.

A runaway sequence something like this seems to have occurred on Venus. Why, we may ask, has it not happened on the Earth, a planet of about the same size as Venus and, so far as is known, of a similar initial chemical composition? The reason is that Venus is closer to the Sun than the Earth; the amount of solar energy per square metre falling on Venus is about twice that falling on the Earth. The surface of Venus, when there was no atmosphere, would have started off at a temperature of just over 50°C (Figure 2.8). Throughout the sequence described above for Venus, water on the surface would have been continuously boiling. Because of the high temperature, the atmosphere would never have become saturated with water vapour. The Earth, however, would have started at a colder temperature; at each stage of the sequence it would have arrived at

Figure 2.8 The evolution of the atmospheres of the Earth, Mars and Venus. In this diagram, the surface temperatures of the three planets are plotted against the vapour pressure of water in their atmospheres as they evolved. Also on the diagram (dashed) are the phase lines for water, dividing the diagram into regions where vapour, liquid water or ice are in equilibrium. For Mars and the Earth the greenhouse effect is halted when water vapour is in equilibrium with ice or liquid water. For Venus no such halting occurs and the diagram illustrates the 'runaway' greenhouse effect.



an equilibrium between the surface and an atmosphere saturated with water vapour. There is no possibility of such runaway greenhouse conditions occurring on the Earth.

The enhanced greenhouse effect

After our excursion to Mars and Venus, let us return to Earth! The natural greenhouse effect is due to the gases water vapour and carbon dioxide present in the atmosphere in their natural abundances as now on Earth. The amount of water vapour in our atmosphere depends mostly on the temperature of the surface of the oceans; most of it originates through evaporation from the ocean surface and is not influenced directly by human activity. Carbon dioxide is different. Its amount has changed substantially – by nearly 40% so far – since the Industrial Revolution, due to human industry and also because of the removal of forests (see [Chapter 3](#)). Future projections are that, in the absence of controlling factors, the rate of increase in atmospheric carbon dioxide will accelerate and that its atmospheric concentration will double from its pre-industrial value within the next 100 years ([Figure 6.2](#)).

This increased amount of carbon dioxide is leading to global warming of the Earth's surface because of its enhanced greenhouse effect. Let us imagine, for instance, that the amount of carbon dioxide in the atmosphere suddenly doubled, everything else remaining the same ([Figure 2.9](#)). What would happen

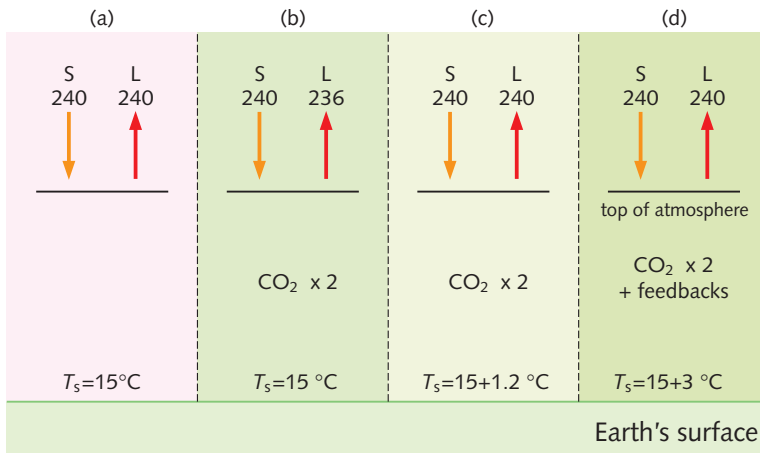


Figure 2.9 The enhanced greenhouse gas effect. Under natural conditions (a) the net solar radiation coming in ($S = 240$ watts per square metre) is balanced by thermal radiation (L) leaving the top of the atmosphere; average surface temperature (T_s) is 15°C . If the carbon dioxide concentration is suddenly doubled (b), L is decreased by 4 watts per square metre. Balance is restored if nothing else changes (c) apart from the temperature of the surface and lower atmosphere, which rises by 1.2°C . If feedbacks are also taken into account (d), the average temperature of the surface rises by about 3°C .

surface and lower atmosphere will warm up. If nothing changes apart from the temperature – in other words, the clouds, the water vapour, the ice and snow cover and so on are all the same as before – the temperature change turns out to be about 1.2°C .

In reality, of course, many of these other factors will change, some of them in ways that add to the warming (these are called positive feedbacks), others in ways that might reduce the warming (negative feedbacks). The situation is therefore much more complicated than this simple calculation. These complications will be considered in more detail in [Chapter 5](#). Suffice it to say here that the best estimate at the present time of the increased average temperature of the Earth's surface if carbon dioxide levels were to be doubled is about twice that of the simple calculation: 3.0°C . As the last chapter explained, for the global average temperature this is a large change. It is this global warming expected to result from the enhanced greenhouse effect that is the cause of current concern.

Having dealt with a doubling of the amount of carbon dioxide, it is interesting to ask what would happen if all the carbon dioxide were removed from the atmosphere. It is sometimes supposed that the outgoing radiation would be

to the numbers in the radiation budget presented earlier ([Figure 2.7](#)). The solar radiation budget would not be affected. The greater amount of carbon dioxide in the atmosphere means that the thermal radiation emitted from it will originate on average from a higher and colder level than before ([Figure 2.4](#)). The thermal radiation budget will therefore be reduced, the amount of reduction being about 4 watts per square metre (a more precise value is 3.7).

This causes a net imbalance in the overall budget of 4 watts per square metre. More energy is coming in than going out. To restore the balance the sur-

changed by 4 watts per square metre in the other direction and that the Earth would then cool by one or two degrees Celsius. In fact, that would happen if the carbon dioxide amount were to be halved. If it were to be removed altogether, the change in outgoing radiation would be around 25 watts per square metre – six times as big – and the temperature change would be similarly increased. The reason for this is that with the amount of carbon dioxide currently present in the atmosphere there is maximum carbon dioxide absorption over much of the region of the spectrum where it absorbs (Figure 2.5), so that a big change in gas concentration leads to a relatively small change in the amount of radiation it absorbs.⁸ This is like the situation in a pool of water: when it is clear, a small amount of mud will make it appear muddy, but when it is muddy, adding more mud only makes a small difference.

An obvious question to ask is: has evidence of the enhanced greenhouse effect been seen in the recent climatic record? Chapter 4 will look at the record of temperature on the Earth during the last century or so, during which the Earth has warmed on average by about three-quarters of a degree Celsius. We shall see in Chapters 4 and 5 that there are good reasons for attributing most of this warming to the enhanced greenhouse effect, although because of the size of natural climate variability the exact amount of that attribution remains subject to some uncertainty.

SUMMARY

- No one doubts the reality of the natural greenhouse effect, which keeps us over 20°C warmer than we would otherwise be. The science of it is well understood; it is similar science that applies to the enhanced greenhouse effect.
- Substantial greenhouse effects occur on our nearest planetary neighbours, Mars and Venus. Given the conditions that exist on those planets, the sizes of their greenhouse effects can be calculated, and good agreement has been found with those measurements that are available.
- Study of climates of the past gives some clues about the greenhouse effect, as Chapter 4 will show.

First, however, the greenhouse gases themselves must be considered. How does carbon dioxide get into the atmosphere, and what other gases affect global warming?

QUESTIONS

- 1 Carry out the calculation suggested in Note 4 (refer also to Note 2) to obtain an equilibrium average temperature for an Earth partially covered with clouds such that 30% of the incoming solar radiation is reflected. If clouds are assumed to cover half the Earth and if the reflectivity of the clouds increases by 1% what change will this make in the resulting equilibrium average temperature?
- 2 It is sometimes argued that the greenhouse effect of carbon dioxide is negligible because its absorption band in the infrared is so close to saturation that there is very little additional absorption of radiation emitted from the surface. What are the fallacies in this argument?
- 3 Use the information in Figure 2.5 to estimate approximately the surface temperature that would result if carbon dioxide were completely removed from the atmosphere. What is required is that the total energy radiated by the Earth plus atmosphere should remain the same, i.e. the area under the radiance curve in Figure 2.5 should be unaltered. On this basis construct a new curve with the carbon dioxide band absent.⁹
- 4 Using information from books or articles on climatology or meteorology describe why the presence of water vapour in the atmosphere is of such importance in determining the atmosphere's circulation.
- 5 Estimates of regional warming due to increased greenhouse gases are generally larger over land areas than over ocean areas. What might be the reasons for this?
- 6 (For students with a background in physics) What is meant by Local Thermodynamic Equilibrium (LTE),¹⁰ a basic assumption underlying calculations of radiative transfer in the lower atmosphere appropriate to discussions of the greenhouse effect? Under what conditions does LTE apply?

► FURTHER READING AND REFERENCE

- Historical overview of climate change science. Chapter 1, in Solomon, S., Qin, D., Manning, M., Chen, Z., Marquis, M., Averyt, K. B., Tignor, M., Miller, H. L. (eds.) 2007. *Climate Change 2007: The Physical Science Basis. Contribution of Working Group I to the Fourth Assessment Report of the Intergovernmental Panel on Climate Change*. Cambridge: Cambridge University Press.
- Houghton J. 2002. *The Physics of Atmospheres*, third edition. Cambridge: Cambridge University Press, Chapters 1 and 14.

NOTES FOR CHAPTER 2

- 1 It is about one-quarter because the area of the Earth's surface is four times the area of the disc which is the projection of the Earth facing the Sun; see Figure 2.1.
- 2 The radiation by a black body is the Stefan-Boltzmann constant ($5.67 \times 10^{-8} \text{ J m}^{-2} \text{ K}^{-4} \text{ s}^{-1}$) multiplied by the fourth power of the body's absolute temperature in Kelvin. The absolute temperature is the temperature in degrees Celsius plus 273 ($1 \text{ K} = 1^\circ\text{C}$).
- 3 These calculations using a simple model of an atmosphere containing nitrogen and oxygen only have been carried out to illustrate the effect of the other gases, especially water vapour and carbon dioxide. It is not, of course, a model that can exist in reality. All the water vapour could not be removed from the atmosphere above a water or ice surface. Further, with an average surface temperature of -6°C , in a real situation the surface would have much more ice cover. The additional ice would reflect more solar energy out to space leading to a further lowering of the surface temperature.
- 4 The calculation I made giving a temperature of -6°C for the average temperature of the Earth's surface if greenhouse gases are not present not only ignored the different reflectivity of ice compared with the present surface but also ignored the presence of clouds. Depending on the assumptions made regarding clouds and other factors, values ranging between 20 and 30°C are quoted for the difference in surface temperature with and without greenhouse gases present.
- 5 Further details can be found in Mudge, F.B. 1997. The development of greenhouse theory of global climate change from Victorian times. *Weather*, **52**, 13–16.
- 6 A range of 2 to 4.5°C is quoted in Chapter 6, page 143.
- 7 More detail of the radiative effects of clouds is given in Chapter 5; see Figures 5.14 and 5.15.
- 8 The dependence of the absorption on the concentration of gas is approximately logarithmic.
- 9 For some helpful diagrams and more information about the infrared spectrum of different greenhouse gases, see Harries, J.E. 1996. The greenhouse Earth: a view from space. *Quarterly Journal of the Royal Meteorological Society*, **122**, 799–818.
- 10 For information about LTE see, for instance, Houghton, J.T. 2002. *The Physics of Atmospheres*, third edition. Cambridge: Cambridge University Press.

3

The greenhouse gases



Industrial activity: a source of carbon dioxide and other gaseous and particulate pollution.

THE GREENHOUSE gases are those gases in the atmosphere which, by absorbing thermal radiation emitted by the Earth's surface, have a blanketing effect upon it. The most important of the greenhouse gases is water vapour, but its amount in the atmosphere is not changing directly because of human activities. The important greenhouse gases that are directly influenced by human activities are carbon dioxide, methane, nitrous oxide, the chlorofluorocarbons (CFCs) and ozone. This chapter will describe what is known about the origin of these gases, how their concentration in the atmosphere is changing and how it is controlled. Also considered will be particles in the atmosphere of anthropogenic origin, some of which can act to cool the surface.